

State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2013

Volume 1 – General Government, Quasi-Public
Agencies and Component Units

Lincoln D. Chafee, Governor

Agency

Rhode Island Ethics Commission

Agency Mission

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Agency Description

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

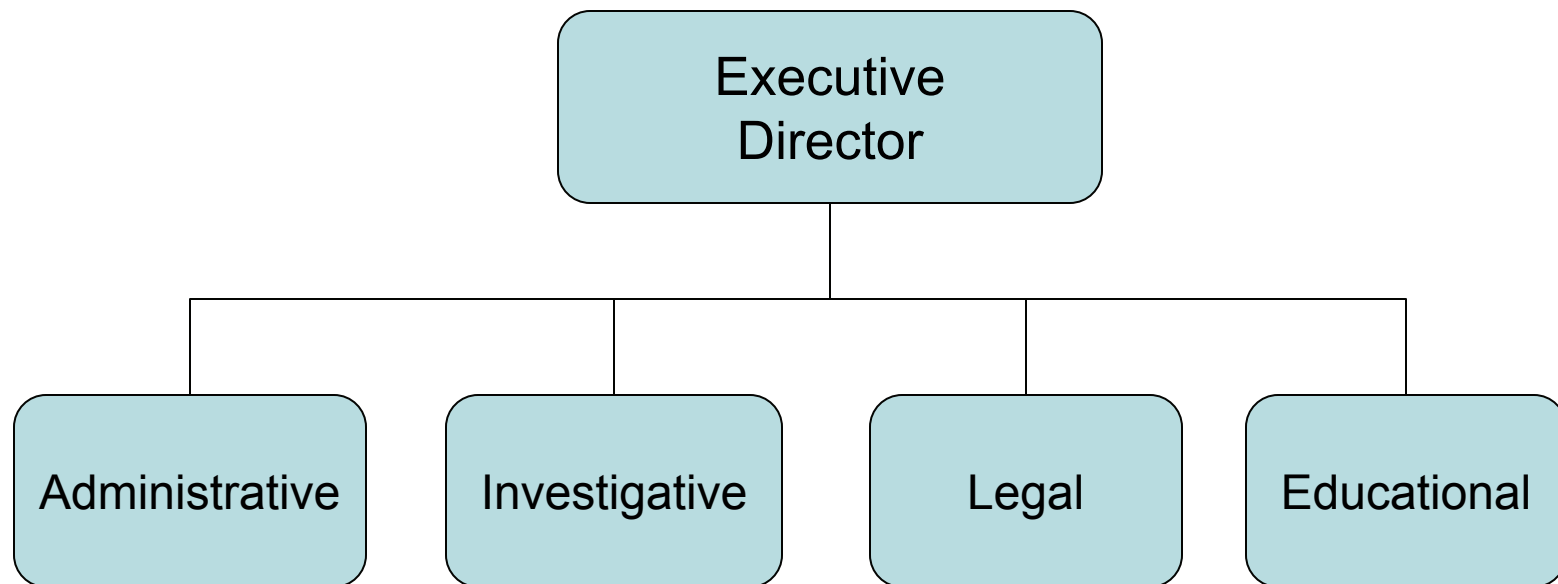
Budget

Rhode Island Ethics Commission

	FY 2010 Audited	FY 2011 Audited	FY 2012 Enacted	FY 2012 Revised	FY 2013 Recommend
Expenditures By Program					
RI Ethics Commission	1,366,012	1,419,805	1,560,008	1,522,946	1,557,881
Total Expenditures	\$1,366,012	\$1,419,805	\$1,560,008	\$1,522,946	\$1,557,881
Expenditures By Object					
Personnel	1,211,428	1,259,598	1,389,146	1,343,073	1,380,008
Operating Supplies and Expenses	149,389	152,713	163,744	172,755	170,755
Subtotal: Operating Expenditures	1,360,817	1,412,311	1,552,890	1,515,828	1,550,763
Capital Purchases and Equipment	5,195	7,494	7,118	7,118	7,118
Total Expenditures	\$1,366,012	\$1,419,805	\$1,560,008	\$1,522,946	\$1,557,881
Expenditures By Funds					
General Revenue	1,366,012	1,419,805	1,560,008	1,522,946	1,557,881
Total Expenditures	\$1,366,012	\$1,419,805	\$1,560,008	\$1,522,946	\$1,557,881
FTE Authorization	12.0	12.0	12.0	12.0	12.0
Agency Measures					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	58.3%	58.3%	58.3%	58.3%	58.3%
Persons with Disabilities as a Percentage of the Workforce	16.6%	16.6%	16.6%	16.6%	16.6%
Percentage of Advisory Opinion Requests Responded to Within 30 Days of Receipt	78.9%	83.0%	90.0%	90.0%	90.0%
Objective	76.4%	90.0%		90.0%	90.0%

The Agency

Rhode Island Ethics Commission



Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Unclassified					
Executive Director/Chief Prosecutor	0845A	1.0	130,324	1.0	130,324
Chief of the Office of Investigations	0836A	1.0	101,197	1.0	101,197
Staff Attorney V	0836A	1.0	93,762	1.0	93,762
Staff Attorney IV	0834A	1.0	87,519	1.0	87,519
Special Projects Coordinator	0829A	1.0	79,063	1.0	79,063
Senior Confidential Investigator	0832A	1.0	77,664	1.0	77,664
Staff Attorney II	0830A	1.0	64,303	1.0	66,747
Staff Attorney I	0828A	1.0	58,415	1.0	60,731
Administrative Officer	0822A	1.0	54,941	1.0	54,941
Investigator I	0823A	1.0	51,520	1.0	53,869
Administrative Assistant	0816A	1.0	42,623	1.0	42,623
Research Aide	0810A	1.0	35,662	1.0	36,516
Subtotal		12.0	\$876,993	12.0	\$884,956
Turnover		-	(9,769) ⁽¹⁾	-	-
Subtotal		-	(\$9,769)	-	-
Total Salaries		12.0	\$867,224	12.0	\$884,956
Benefits					
FICA		-	65,025	-	66,240
Medical		-	84,659	-	90,673
Payroll Accrual		-	-	-	5,223
Retiree Health		-	59,491	-	60,708
Retirement		-	199,288	-	187,424
Retirement		-	-	-	8,850
Subtotal		-	\$408,463	-	\$419,118
Total Salaries and Benefits		12.0	\$1,275,687	12.0	\$1,304,074
Cost Per FTE Position			\$106,307		\$108,673
Statewide Benefit Assessment		-	32,886	-	33,184
Subtotal		-	\$32,886	-	\$33,184
Payroll Costs		12.0	\$1,308,573	12.0	\$1,337,258
Purchased Services					
Clerical and Temporary Services		-	4,500	-	4,500
Information Technology		-	-	-	8,250
Legal Services		-	30,000	-	30,000
Subtotal		-	\$34,500	-	\$42,750
Total Personnel		12.0	\$1,343,073	12.0	\$1,380,008

Personnel

Rhode Island Ethics Commission

RI Ethics Commission

	Grade	FY 2012		FY 2013	
		FTE	Cost	FTE	Cost
Distribution By Source Of Funds					
General Revenue		12.0	1,343,073	12.0	1,380,008
Total All Funds		12.0	\$1,343,073	12.0	\$1,380,008

1 savings realized due to vacancy in Staff Attorney II position for 4 pay periods.

RI Ethics Commission

Percentage of Advisory Opinion Requests Responded to Within 30 Days of Receipt

This indicator measures the percentage of advisory opinion requests responded to within thirty days of receipt and relates to the commission's stated objective to respond efficiently to public inquiries regarding the requirements of the Code of Ethics for public officials and employees. The performance data is obtained from Ethics Commission statistical reports and databases.

The objective has been a completion rate of one hundred percent. The objective was changed, however, beginning in FY 2006, to the highest percentage in a previous fiscal year since FY 2004.